

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
20 November 2003 (20.11.2003)

PCT

(10) International Publication Number
WO 03/094898 A2

(51) International Patent Classification⁷: **A61K 9/50**

(21) International Application Number: PCT/CA03/00671

(22) International Filing Date: 7 May 2003 (07.05.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/377,972 7 May 2002 (07.05.2002) US

(71) Applicant (*for all designated States except US*): **MCMAS-
TER UNIVERSITY** [CA/CA]; 1280 Main Street West,
Gilmour Hall 306, Hamilton, Ontario L8S 4L8 (CA).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **CHILDS, Ronald,
F.** [CA/CA]; 130 Grant Blvd., Dundas, Ontario L9H 6J4
(CA). **SHEN, Feng** [CN/CA]; 1712 Main Street West, Apt.
304, Hamilton, Ontario L8S 1G7 (CA). **WANG, Sanju**
[CN/CA]; 1055 Bloor Street E, Mississauga, Ontario L4Y
2N5 (CA).

(74) Agents: **MORROW, Joy, D.** et al.; Smart & Biggar, P.O.
Box 2999, Station D, 900-55 Metcalfe Street, Ottawa, On-
tario K1P 5Y6 (CA).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,
MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE,
SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ,
VC, VN, YU, ZA, ZM, ZW.

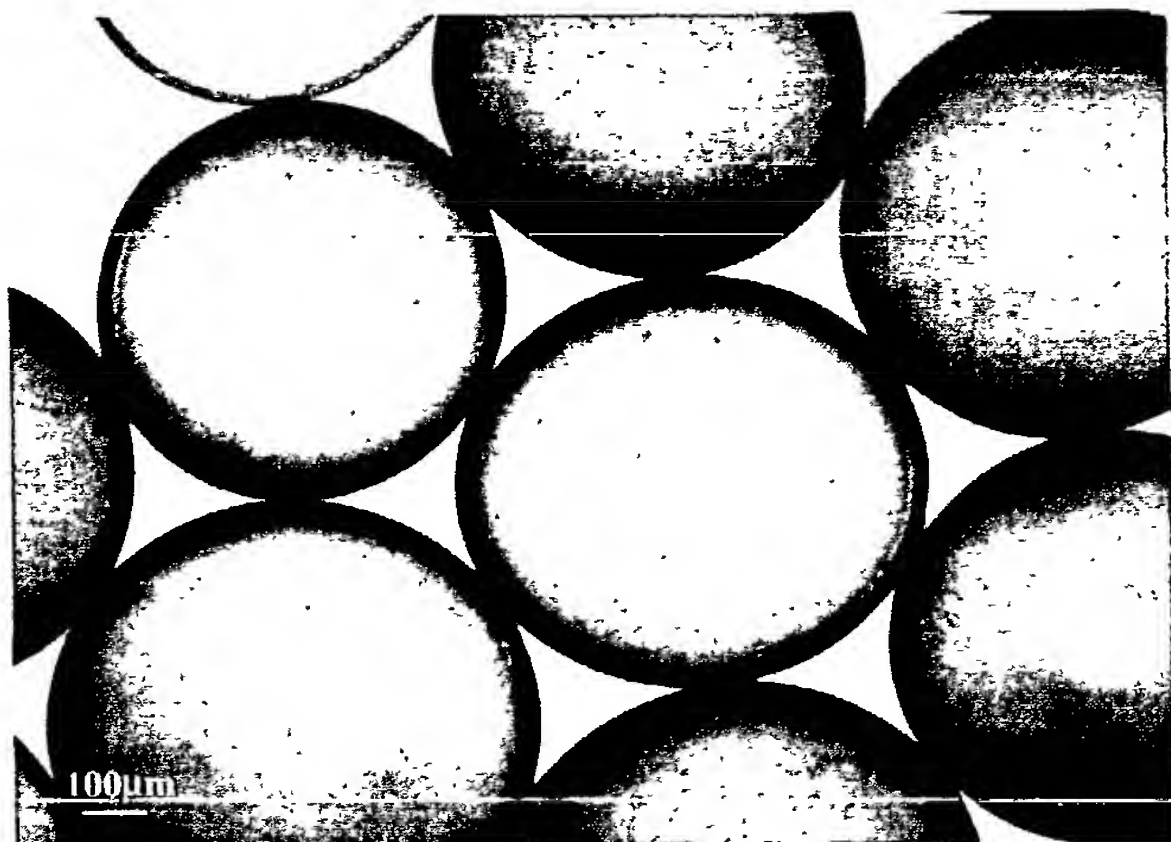
(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),
Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE,
ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,
SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM,
GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— *without international search report and to be republished
upon receipt of that report*

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: MICROCAPSULES CONTAINING BIOMEDICAL MATERIALS



(57) Abstract: Biomedical materials are encapsulated in ionically crosslinked polymer capsules, preferably alginate microcapsules. The alginate capsules are then subjected, in a liquid vehicle, to an ethylenically unsaturated monomer and an initiator, to induce polymerization of the unsaturated monomer and thereby enhance the strength of the capsule wall. The microcapsules can be after-treated with, for example, polylysine and alginate to reduce their tendency to elicit an immune response if implanted in an animal. The invention extends to the microcapsules and also to a method of treating or preventing medical conditions in an animal particularly a human, by implanting microcapsules containing biomedical material in the animal.

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Microcapsules Containing Biomedical Materials

Field of the Invention

The present invention relates to microcapsules. More specifically, the present invention relates to the formation of microcapsules that can be implanted in an animal and/or human. The microcapsules may contain biomedical material for example, cells, especially recombinant cells for gene therapy, proteins and/or drugs for long term delivery.

Background of the Invention

It is known that microcapsules can be prepared from alginate cross-linked with Ca^{2+} . These capsules are well suited for the incorporation of living cells, and allow the diffusion of nutrients into and expressed proteins out of the capsules. Particularly if the microcapsules are coated first with poly-L-lysine and then subsequently with alginate, they show little immune response when implanted within a mammalian host. They have been used as a convenient means of supplying a hormone to a human or non-human animal lacking the ability to produce such a material. This classical method of encapsulation has been described in the literature (F. Lim et al. (1981) *J. Pharm. Sci.* 70: 351) and used to treat diabetes (A.M. Sun (1988) *Meth. Enzymol.* 137: 575), liver failure (F. Lim et al. (1980) *Science* 210, 908-910), and kidney failure (P.A. Rivas-Vetencourt et al. (1997) *Trans Proc* 29, 920-922; S. Prakash et al. (1996) *Nat Med* 2(8), 883-887) in animal models and human (P. Soon-Shiong et al. (1994) *Lancet* 343, 950-951).

The disclosures of these publications are hereby incorporated by reference. The encapsulation technique is a

simple one. However, it suffers from a major drawback in that the capsules are insufficiently stable and degrade with time. The rate of this degradation and failure, which depends on the nature of the host organism, severely limits the application of this approach to the treatment of human patients. It would appear, although the applicants do not want to be bound by these suggestions, that causes of failure include the following. The capsules have an inherent lack of strength such that when subject to an osmotic shock they disintegrate. Further, when implanted in a host, the Ca^{2+} used to cross-link the alginate is leached out of the capsules. This leaching would appear to be enhanced by the presence of albumin in the host since albumin is a major transporter of Ca^{2+} .

It has been suggested that to prevent such degradation, it would be desirable to replace the ionic cross-linking of the alginate associated with the Ca^{2+} with a covalent cross-linking agent. Several attempts have been made to do this including the work described in US Patent No. 5,837,747 of Soon-Shiong et al., . In this patent, a process for increasing capsule strength is described in which the alginate is first reacted with acrylic anhydride to incorporate an acrylate ester into the starting alginate. The capsules are then made in the normal manner using Ca^{2+} but subsequently subjected to light so as to cause a photopolymerization of the acrylate functionalities. In order to enhance this photopolymerization, and thereby covalent cross-linking, additional monomers such as N-vinylpyrrolidone were added to the solution surrounding the capsules. Comparable covalent modifications using methacrylic anhydride have been reported by A. Kimberly et al. (Journal of Biomedical Materials Research. 2001, Vol 55, 254-255) and also maleic anhydride. Soon-Shiong also has a

number of patents in the area that represent further modifications on the theme. In some of these cases, reagents are used that would be lethal to any encapsulated cells (F. Lee *et al.* in Science 213:233-235 (1981) and in
5 U.S. Pat. No. 4,671,954). The patents and publications mentioned in this paragraph are hereby incorporated by reference.

The processes described in the above publication teaching acrylic anhydride do generate capsules with
0 enhanced strength. However, they are inconvenient for the following reasons.

Reagents such as acrylic anhydride are expensive as their preparation and isolation are difficult. These reagents cannot be conveniently stored for long periods.

15 Acrylic anhydride is often made by reaction of acrylic acid with acetic anhydride, and the obtained acrylic anhydride may be contaminated with acrylic acid, acetic anhydride and acetic acid. Moreover, after the treatment of
10 the alginate with these reagent,s any residual small
20 molecules must be removed. In view of the intended utility of the capsules for implantation into animals, purity of products is of great concern. Hence, great care must be exercised in purification, and this is preferably effected by dialysis of the alginate.

25 In addition, the capsules produced by such methods have relatively rough surfaces and are smaller in diameter and thus more dense than capsules made using the previously known route. The relatively rough surface of the capsules
30 produced by the method of Soon-Shiong is a significant disadvantage. It is, of course, desirable that capsules implanted into an animal for medical reasons shall elicit

little or, better, no immune response. As a generality it is found that rough surfaces elicit greater immune response than smooth surfaces.

Lastly, in the teaching of Soon-Shiong's US Patent
5 No. 5,837,747, the covalent crosslinking agent and the alginate are equally interspersed throughout the capsule, which means that the encapsulated material is in close proximity with the covalent cross-linking prior to
initiation of the photopolymerization. When free radical
0 polymerization is induced, a number of free radicals could be formed in close proximity to the encapsulated material, which could lead to unwanted reactions due to the high reactivity of free radicals. In the case of encapsulated cells, free radicals can negatively impact cell viability.

15 Another route that has been explored in a variety of situations involves forming interpenetrating networks of calcium alginate with another polymer such as poly(acrylic acid). This has been described by Vacanti et al. (US
5,716,404) to produce materials for breast tissue
20 engineering. Einig et al. (US 5,230,901) teach a similar technique to form sustained release tablets by using a mixture of alginates and polyacrylates. H. Sun et
al. (European Polymer Journal, 1996, 32(1):101-104) have
described semi-interpenetrating networks involving alginate
25 and poly(acrylic acid) as absorbent materials. They reported that the swelling properties of the alginate were substantially modified by the presence of the poly(acrylic acid). T. Mano et al. (Journal of Fermentation and
Bioengineering, 1992, 73(6): 486-489) have reported a new
30 immobilization method of mammalian cells using alginate and polyacrylate. The patents and articles referred to in this paragraph are incorporated herein by reference.

Applicants have made capsules by mixing sodium alginate with poly(acrylic acid) or its sodium salt and adding this solution to calcium chloride. The capsules indeed had enhanced strength when subjected to osmotic
5 shock. However, those based on an admixture with poly(acrylic acid) did not have good long term stability. Those based on sodium poly(acrylate) would appear to have better long term stability. However, while these latter capsules exhibit good survival rates of incorporated cells,
0 the capsules are still not sufficiently robust for long term use.

The technique involving the physical mixture of alginate with a further polymer is simple to use. However, it is unlikely that all the problems associated with long
.5 term stability will be solved by this approach.

Another approach to encapsulation is taken by Desai et al in US Patent No. 5,334,640, who use ionically crosslinked and covalently crosslinked components to encapsulate materials. As ionically crosslinkable
20 components, Desai et al use an alginate, and as covalently crosslinkable component, they use a vinyl modified poly(ethylene glycol) (PEG). The amount of vinyl modified PEG used by Desai et al is considerable, and the modified PEG and alginate are used simultaneously to form an
25 interpenetrating network of polymers encapsulating the encapsulated material. The amount of modified PEG used by Desai et al is great, far exceeding the amount of alginate, so that the formed capsule is in reality a PEG capsule, rather than an alginate capsule. Again in this case,
30 covalently crosslinkable components are interspersed through the ionically crosslinkable components, so that radicals

formed during the photopolymerization might negatively interact with the encapsulated material.

Summary of the Invention

5 In one aspect, the present invention provides a process for encapsulating a biomedical material, which comprises incorporating the biomedical material in capsules of an ionically crosslinkable polymeric material, and contacting the capsules with a liquid vehicle comprising an
0 ethylenically unsaturated molecule and an initiator.

 In one embodiment, the ionically crosslinkable polymeric material is an alinate. In another embodiment, the initiator is a photoinitiator and free-radical polymerization is induced by irradiation.

5 In some preferred embodiments of the invention, the biomedical material to be encapsulated is a living cell, possibly genetically modified, such as recombinant cells for gene therapy. In other embodiments, the biomedical material
10 is a protein or a drug for long term slow release.

20 The capsules subsequently may be further treated to reduce any tendency to elicit an immune response when administered to an animal, for instance a human. This can be done, for instance, by coating capsules with a polyamino acid, for example poly-L-lysine or poly-L-arginine, followed
25 by further coating with ionically crosslinkable polymeric material, preferably alginate.

 In another aspect the invention provides microcapsules prepared by the above-described process, especially microcapsules that incorporate a living cell or a
30

protein or drug, and that have been further treated, if necessary, to reduce immunogenicity.

In yet another aspect the invention extends to a method of treating an animal, particularly a mammal and more particularly a human, by implanting in the animal microcapsules of the invention for the treatment, prevention or alleviation of some medical condition that the animal is, or may be, subject to, or at risk from.

Ionically crosslinkable polymeric materials include polysaccharides, polyanions and polycations. Ionically crosslinkable polysaccharides include, but are not limited to, alginate and natural ionic polysaccharides such as chitosan, gellan gum, xanthan gum, hyaluronic acid, heparin, pectin and carrageenan. Of these alginic acid and alginates are preferred and, although the invention is not restricted to them it will be further described with reference to alginate as the ionically crosslinkable polymeric material.

It is noteworthy that in the process of this invention, the alginate that is used to encapsulate is not first reacted with a reagent to introduce onto the alginate moieties a group containing ethylenic unsaturation. The encapsulation can be carried out with commercially available alginate that has not been subjected to any chemical modification. In this respect, the invention differs from the teaching of Soon-Shiong et al in US Patent No. 5,837,747. Thus an extra synthesis step is avoided, as also is the necessity for preparing, say, acrylic anhydride to react with the alginate. Furthermore, the present invention eliminates the risk of contaminating the capsules with small molecules such as acetic acid and acetic anhydride that may

be present with acrylic anhydride. Hence, a purification step, such as by dialysis, is not required with the process of the present invention. In addition, encapsulation of the biomedical material within the ionically crosslinkable material prior to the addition of the ethylenically unsaturated monomer reduces the interaction between the ethylenically unsaturated monomer and the encapsulated biomedical material. This limited interaction is beneficial as it limits the exposure of the biomedical material to highly reactive free-radical bearing moieties.

It is also noteworthy that, in the process of the invention, unmodified commercially available alginate can be the sole encapsulating agent or wall-former in the initial capsule formation. This contrasts with the teaching of Desai et al., in US Patent No. 5,334,640, where alginate is not the sole, nor even the major, encapsulating agent or wall-former in the initial capsule formation.

The process of the invention is simple, low cost, requires no complex steps or chemical syntheses and has the benefit that the biomedical material, e.g. living cells, is incorporated in the initial capsule formation and is therefore somewhat protected from the subsequent photopolymerization conditions.

Description of the Figures

Specific embodiments of the present invention are further described with reference to the figures:

Figure 1 is a photo-micrograph of alginate capsules (dyed to make them visible) prepared in accordance with the conventional procedure (F. Lim et al. (1981) J. Pharm. Sci. 70: 351).

Figure 2 is a photo-micrograph of alginate capsules (dyed to make them visible) prepared in accordance with the procedure of Soon-Shiong (US Patent No. 5,837,747).

Figure 3 is an optical microscope picture of a capsule
5 prepared in accordance with the procedure disclosed in US Patent No. 5,837,747.

Figure 4 graphs the viability of capsules when subjected to osmotic pressure. Results (A) represents conventional alginate capsules (comparative) (F. Lim et al. (1981) J.
0 Pharm. Sci. 70: 351), while results (B) through (E) are for capsules prepared with varying concentrations of acrylic acid and N-vinylpyrrolidone.

Figure 5 graphs the viability of capsules when subjected to osmotic pressure, subsequent to storage for a period of 4
.5 months. Results (A) represents conventional alginate capsules (comparative) (F. Lim et al. (1981) J. Pharm. Sci. 70: 351), while results (B), (C) and (E) are for capsules prepared with varying concentrations of acrylic acid and N-vinylpyrrolidone.

20 Figure 6 graphs the viability of encapsulated C2C12 cells over time, for capsules of various compositions and various process methods described herein.

Figure 7 graphs the viability of capsules when subjected to osmotic pressure. Results (A) represents conventional
25 alginate capsules (comparative) (F. Lim et al. (1981) J. Pharm. Sci. 70: 351), while results (B) through (E) are for capsules prepared with varying concentrations of sodium acrylate.

Figure 8 graphs the viability of capsules when subjected to
30 osmotic pressure, subsequent to storage for a period of 4

months. Results (A) represents conventional alginate capsules (comparative) (F. Lim et al. (1981) J. Pharm. Sci. 70: 351), while results (B) through (E) are for capsules prepared with varying concentrations of sodium acrylate.

5 Figure 9 graphs the viability of capsules when subjected to osmotic pressure, where the capsules have varying concentrations of sodium acrylate and N-vinylpyrrolidone.

Figure 10 graphs the viability of capsules when subjected to osmotic pressure, subsequent to storage for a period of 4
0 months, where the capsules have varying concentrations of sodium acrylate and N-vinylpyrrolidone.

Figure 11 graphs the concentration of calcium in various capsules subsequent to their disintegration. Results (AG) are for conventional alginate capsules (comparative) (F. Lim
15 et al. (1981) J. Pharm. Sci. 70: 351), while the remaining results are for capsules of varying compositions described herein.

Figure 12 graphs the cell viability in capsules irradiated for various lengths of time. In the cases where there was
20 no irradiation, the capsules were left in contact with the monomers and the photoinitiator for the defined period.

Figure 13 graphs the viability of cells in capsules subjected to varying osmotic pressures, where the capsules have been irradiated for varying lengths of time.

25 Figure 14 graphs the cell viability results for various capsules as determined by an Alamar blue test.

Figure 15 graphs the capsule viability to osmotic pressure induced by hypotonic solutions of varying concentrations,

for capsules with varying compositions and with or without irradiation.

Description of Preferred Embodiments

5 Materials to be encapsulated, for implantation in the body, may be cells, including recombinant cells, such as myoblasts, fibroblasts, neuronal cells and lymphoblasts. Material to be encapsulated may be proteins, such as enzymes, blood clotting factors, hormones, growth factors, 0 angiogenic factors and anti-tumour growth factors. Materials to be encapsulated may be drugs, such as cisplatin, methotrexate, ganciclovir and anti-tumour chemotoxic drugs in general. The implantable capsule preferably should be biocompatible and non-cytotoxic, supportive of cell growth, .5 and display controlled permeability. Particularly for implantation of cells, the capsule should be non-biodegradable. For drug delivery, preferably the capsule should degrade over a defined period after treatment is finished.

20 Methods for encapsulating biomedical materials, such as cells, proteins or drugs in particulate form in alginate are known to persons skilled in the art. Any known mechanical method can be used, in the present invention, to encapsulate biomedical materials. In one technique, an 25 alginate solution in which the particles are suspended is dropped into an aqueous solution containing a salt of a multivalent cation, typically Ca^{++} in a concentration of about 0.5 to 2.0%. As the drops of alginate encounter the multivalent cations, there occurs ionic crosslinking that 30 results in the formation of capsules that fall to the bottom of the vessel containing the multivalent cations.

The alginate solution in which the particles are suspended may be a solution of alginic acid, an alkali metal alginate, an ammonium alginate, or a lower alkyl ester of alginic acid, for example methyl, ethyl or propyl, or a hydroxyalkylester or ether, for example propylene glycol alginate. Alginates are described, for example, in the book by Roy L. Whistler, Industrial Gums, New York, 1973, in the subsection by McNeely and Pettitt on alginates, which is incorporated by reference. It is preferred to use a sodium or potassium alginate. Alginates are composed of units of guluronic acid and units of mannuronic acid. Those alginates having a higher content of guluronic acid are preferred, i.e. those having at least 60% alpha-L-guluronic acid, especially at least 70%.

Particularly suitable alginates are alkali metal and ammonium alginates, in particular sodium and potassium alginates. Propylene glycol alginate is a reaction product of propylene oxide and alginic acid, i.e., the 1,2-propanediol ester of alginic acid.

The solution into which the alginate is dropped is an aqueous solution of a salt of a multivalent cation. Examples of divalent cations are Ca^{++} , Mg^{++} , Ba^{++} and Sr^{++} , while examples of trivalent cations are Al^{+++} and Fe^{+++} . It is preferred to use a halide solution, especially calcium chloride.

The formed alginate microcapsules containing incorporated biomedical material can be subjected to modification with an ethylenically unsaturated, polymerizable monomer. Thus, the microcapsules may be placed in water, together with one or more ethylenically unsaturated polymerizable monomers. If necessary, a salt,

for example sodium chloride, may also be present in the water to prevent the rupture of the capsules due to osmotic shock. An initiator is also present to induce polymerization of the ethylenically unsaturated monomers.

5 This results in microcapsules having enhanced strength, as compared with microcapsules not subjected to polymerization of the unsaturated monomers.

As ethylenically unsaturated molecules, i.e., molecules containing carbon-carbon double bonds that are
0 capable of undergoing free radical polymerization, there are mentioned, for example, acrylic acid and alkali metal acrylates, methacrylic acid and alkali metal methacrylates, acrylonitrile, methacrylonitrile, allyl alcohol, N-vinylpyrrolidone, and vinyl group terminated
5 poly(alkyleneglycols). As vinyl group terminated poly(alkyleneglycols), there are mentioned esters formed between terminal hydroxy groups of poly(ethyleneglycol) (PEG) and an acid containing carbon-carbon double bonds that is capable of undergoing free radical polymerization, for
10 example acrylic and methacrylic acid. Also mentioned are ethers of PEG, for example vinyl or allyl ethers. Modified PEG's and processes for their preparation are described in US Patent No. 5,334,640, of Desai et al, the relevant portions of which are incorporated herein by reference. The
25 modified PEG may have a molecular weight up to about 10,000, say in the range 1,000 to 10,000. Of the photopolymerizable molecules, sodium acrylate is preferred. It is possible to use a mixture of polymerizable molecules.

Examples of ethylenically unsaturated
30 polymerizable molecules further include N-vinylpyrrolidone, acrylamide, methacrylamide, acrylic acid, methacrylic acid, sodium and potassium acrylate, sodium and potassium

methacrylate, hydroxymethyl acrylate, hydroxyethyl acrylate, ethylene glycol diacrylate, ethylene glycol dimethacrylate, methylene bisacrylamide pentaerythritol triacrylate, pentaerythritol triacrylate, trimethylolpropane triacrylate, tripropylene glycol diacrylate, tripropylene glycol dimethacrylate, glyceryl acrylate, glyceryl methacrylate and the like.

The ethylenically unsaturated polymerizable molecule is suitably used in an amount from $10\mu\text{M}$ to 2M , preferably 0.02 to 0.2M . The molar concentration of polymerizable molecule(s) in the solution is usually not greater than the molar concentration of the alginate solution used in the initial capsule formation. Preferably the molar concentration of the polymerizable molecule(s) is not greater than 50% that of the alginate solution. Mixtures of polymerizable molecules can be used. Polymerizable molecules that contain COO^- groups are preferred.

In general, polymerization of ethylenically unsaturated molecules is well understood, and a person skilled in the art will have no difficulty in selecting suitable conditions for the polymerization. For example, vinyl polymerization is described generally in T. Tsuruta *et al.* "Structure and Mechanism in Vinyl Polymerization", Marcel Dekker, Inc., New York 1969.

A variety of free radical initiators, as can readily be identified by those of skill in the art, can be employed in the practice of the present invention. Thus, photoinitiators, thermal initiators, redox initiators and the like, can be employed.

For example, redox initiators are discussed in greater detail in "Inverse dispersion polymerization of acrylic acid by a water-soluble redox pair" by Liu, Zuifang (Loughborough Univ); Brooks, Brain W. Polymer, V40, n9 Apr. 5 1999, P2181-2188. In some instances, redox initiators, in the form of transition metals, can be found in trace amounts in alginate compounds that can be used in the present invention.

Thermal initiation of polymerization is also well 0 understood, such as detailed in "Polymerization of acrylic acids by Chlorocarbon/Metallocene combination Initiator" by Hee-Gweon Woo; Bo-Hye Kim; Myoung-shik Cho. In Bull. Korean Chem.; Soc. 2002, V23, N9, P1343.

Suitable UV initiators include 2,2-dimethoxy-2-
15 phenyl acetophenone and its water soluble derivatives, benzophenone and its water soluble derivatives, benzyl and its water soluble derivatives, thioxanthone and its water soluble derivatives, hydroxyl alkyl ketones, and phenyl trimethyl benzoyl phosphinates and its water soluble
20 derivatives, and the like. Other suitable UV initiators are commercially available as the Irgacure® series, which includes Irgacure® 2959 (2-Hydroxy-1-[4-(2-hydroxyethoxy) phenyl]-2-methyl-1-propanone), Irgacure® 500 (1-Hydroxy-cyclohexyl-phenyl-ketone 50 wt% Benzophenone 50 wt%),
25 Irgacure® 819 (Phosphine oxide, phenyl bis (2,4,6-trimethyl benzoyl), and its water soluble derivatives

There are many other photoinitiators, however, and a person skilled in the art will have no difficulty in determining suitable polymerization conditions, possibly
30 with the aid of routine testing that does not require the exercise of any inventive faculty.

The photoinitiator can also be used with a co-catalyst, such as a trialkylamine, for example triethanolamine. Triethanolamine is suitably used in an amount of about 0.1 μ M to 0.3M, preferably in an amount of 5 3mM to 0.2M.

The nature of the biomedical material that is encapsulated must be borne in mind when selecting conditions, however. If living cells, or proteins or drugs that are UV sensitive, are encapsulated, then the light used 0 for polymerization should ideally be in the visible range, and the time, temperature and the photoinitiator should be selected accordingly. For example, some dyes of the eosin family are approved for human consumption and will serve as a photoinitiator in the visible light range. The 5 photoinitiator may be used in an amount of about 0.1 μ M to 0.15mM, preferably 0.01mM to 0.15mM.

After polymerization, the capsules are collected and, if necessary, are treated to reduce their tendency to elicit an immune response when administered to an animal. 10 As is known, this can be done by coating with, for example, poly-L-lysine or poly-L-arginine, followed by a further coating with, for example, an alginate. It is preferred that this further alginate coating shall be applied using the same chemistry as used to apply the first, inner alginate 25 coating, i.e., if sodium alginate and calcium chloride solution were used to form the inner alginate coating then it is preferred to use sodium alginate and calcium chloride to form the outer alginate coating.

Capsules prepared in accordance with the prior 30 art, i.e., capsules prepared using the encapsulation reaction between sodium alginate and calcium chloride,

without subsequent addition of a photopolymerizable monomer and irradiation, are prone to lose calcium ions and consequently lose their integrity. As demonstrated in an example set forth below, when such capsules were placed in an aqueous solution of sodium EDTA, the capsules rapidly disintegrate. In contrast, capsules prepared in accordance with the present invention have a much greater stability in the sodium EDTA solution. The inventors have also found that if they take a solution of sodium alginate together with a mixture of vinyl monomers such as N-vinylpyrrolidone and acrylic, add a photoinitiator such as Irgacure 2959 (0.2%) and then irradiate at 350 nm, a gel is formed, indicating that crosslinking has occurred. This may be because hydrogen abstraction from alginate has occurred, to produce moieties that can undergo free radical polymerization. Clearly it cannot be Ca^{++} ion crosslinking as no Ca^{++} ions are present.

The invention is further illustrated in the following examples and in the accompanying figures. Figures 1 and 2 are photo-micrographs of alginate capsules (dyed to make them visible) prepared in accordance with the conventional procedure and with the procedure of Soon-Shiong (US Patent No. 5,837,747) respectively. Since both figures are to the same scale, it can be seen that the capsules of Soon-Shiong are smaller than those of the conventional procedure. Figure 3 is an optical microscope picture of a capsule prepared according to U.S. Patent No 5,837,747, showing surface roughness that is undesirable for capsules to be implanted. Figures 4 and 5, 7 to 10 and 15 illustrate data acquired from testing microcapsules made in accordance with the invention, and also data from testing microcapsules made in accordance with prior art. Figures 6 and 12 to 14 show data of cell viability for encapsulated cells. Figures

7 to 10 show results obtained when subjecting various capsules to osmotic pressure tests. Figure 11 shows results of tests to determine calcium content of various capsules.

From the results obtained in the following examples and from the accompanying Figures, it can be seen that increases in the concentration of monomer and increases in polymerization period both increase the mechanical strength of final microcapsules.

The following examples are offered by way of illustration and not by way of limitation.

Examples

In the following examples there are references to ethylenically unsaturated monomer (i.e. acrylates), expressed as a percentage. The base of the percentage is the concentration of ionically crosslinkable material (i.e. alginates) in the solution used in the initial encapsulation step. To illustrate, if the weight of sodium alginate in the solution used to form the initial alginate capsule is 0.03gms, and the weight of acrylic acid in the solution in which photopolymerization occurs is 0.003gms, then this is referred to as "10% acrylic acid". A concentration of 100% indicates that the ethylenically unsaturated monomer and the ionically crosslinkable material are in a 1:1 ratio. Where other ethylenically unsaturated monomers are used, eg. sodium acrylate or N-vinylpyrrolidone then the amount used was the molar amount corresponding to the molar amount of acrylic acid present in a mixture defined as a weight percent. Thus a "10% sodium acrylate" modification would use a molar amount of sodium acrylate that corresponds to the molar amount of acrylic acid in a "10% acrylic acid" modification.

All solutions were sterilized by either autoclave or filtration through 0.2 μ m filter. A solution of ethyl eosin (0.04%w/v) was prepared in 0.5 to 2.0%, preferably
5 1.1% CaCl_2 and NaCl in amount to maintain an osmotic pressure balance solution. Ethyl eosin (yellowish) was used as the photoinitiator in the subsequent modifications using visible wavelength light. Irgacure 2959 (Ciba Company) was used with long wavelength UV light irradiation. Saline refers to
10 physiological saline (NaCl 0.9%).

The light source used for photoinitiation consisted of four 8 watt tubes obtained from Microlite Scientific. For the UV irradiations, F8T5/BLB 8W T15*300 tubes were used, providing irradiation at wavelengths of
15 about 350 nm or greater. For visible wavelength irradiations, F8T5/CW Fluor.T15*300 with EG408 T8 UV tubeguard filters were used. The four lamps were housed in reflector assembly with the lamps being 4 cm from the capsules being irradiated.

20 In the examples, alginates commercially available under two trademarks were used. Kelton LV is an alginate that has a fine mesh size (~150 microns), low viscosity (10~60mPa.S) and molecular weight MW of 428,000 when measured by gel phase chromatography (GPC). Improved Kelmar
25 has a medium mesh size (~165 microns), high viscosity (250~500mPa.S) and MW of 611,000 measured by GPC.

For some of the following examples, C2C12 cells were immobilized in alginate microcapsules using standard methodologies, i.e. using sodium alginate and calcium
30 chloride as the salt of the multivalent cation. C2C12 cells are cells of a myoblast cell line and are available to the

public from the American Tissue Culture Collection (ATCC).
Details are available at

"<http://www.biotech.ist.unige.it/cldb/cl563.html>"

and in D. Yaffe and O. Saxel "Serial passaging and
5 differentiation of myogenic cells isolated from dystrophic
mouse muscle" Nature (1977) Dec 22-29; 270(5639):725-7. The
encapsulation of the C2C12 cell line is discussed in P.L.
Chang, "Calcium phosphate-mediated DNA transfection", in
J.A. Wolff J A: Gene Therapeutics. Boston, MA, Birkhauser
10 Boston, 1994, p157 and in Gonzalo Horelano et al. "Delivery
of Human Factor IX in Mice by Encapsulated Recombinant
Myoblasts: A Novel Approach Towards Allogeneic Gene Therapy
of Hemophilia B" Blood; 1996 June 15 87(12), 5095-103.

Example 1

15 Detailed Procedure with Acrylic Acid using
Irgacure as the photoinitiator with long wavelength UV light

A solution containing 100µl of 0.2% Irgacure 2959
in saline, 30µl of 1.39 M Acrylic Acid in saline and 50µl of
0.834M N-vinylpyrrolidone in saline were added to 2 ml of
20 calcium microcapsule in a 60mm cell culture dish. After a
gentle shaking, the microcapsules were immediately exposed
to UV light (wavelength of approximately 350 nm) for varying
periods at 4 °C. Afterwards, the capsules were washed with
fresh 1.1% CaCl₂ to remove unreacted reagents. The capsules
25 were then treated with poly-L-lysine and alginate in the
standard manner. Sterile techniques were used throughout
the whole procedure.

Figure 4 shows results of osmotic pressure tests
in double distilled water on capsules of the invention and

"standard" capsules, i.e., capsules that had not been subjected to photopolymerization with an ethylenically unsaturated monomer. The osmotic pressure test measures the strength of microcapsules, by calculating the percentage of intact capsules after exposure to doubly distilled water. The test involved shaking the capsules in the water for three hours, after which the numbers of broken and intact capsules were counted. Most tests were conducted in doubly distilled water.

The microcapsules in accordance with the invention were subjected to photopolymerization using the ethylenically unsaturated monomers specified below wherein AA is acrylic acid and NVP is N-vinylpyrrolidone, and subsequently were subjected to light irradiation for the period specified. Details are given below and in Figure 4:

A---Standard alginate-poly-L-Lysine-alginate microcapsules.

B---Modified with acrylic acid (AA) and N-vinylpyrrolidone (NVP). (AA was 15 μ L of 1.39M solution and NVP 12.5 μ L of a 0.834M solution.) Irradiation time 1h using UV light.

C---Modified with acrylic acid (AA) and N-vinylpyrrolidone (NVP). (AA was 30 μ L of 1.39M solution and NVP 25 μ L of a 0.834M solution.) Irradiation time 1h using UV light.

D---Modified with acrylic acid (AA) and N-vinylpyrrolidone (NVP). (AA was 30 μ L of 1.39M solution and NVP 25 μ L of a 0.834M solution.) Irradiation time 1.5h using UV light.

E---Modified with acrylic acid (AA) and N-vinylpyrrolidone (NVP). (AA was 60 μ L of 1.39M solution and NVP 50 μ L of a 0.834M solution.) Irradiation time 1h using UV light.

It can be seen that none of the "standard" capsules (A) survived the osmotic shock. Of those in accordance with the invention, namely (B), (C), (D) and (E), the percentages intact after the test ranged from 77.6% to 98.0%.

Figure 5 shows the result of an osmotic pressure test similar to the one illustrated in Figure 4, except that the microcapsules were first stored at room temperature for four months in saline solution. Again, none of the "standard" cells survived the test, whereas those in accordance with the invention survived in percentages ranging from 23.8% to 71.2%, indicating good long-term stability.

Example 2

Detailed Procedure with Acrylic Acid using ethyl eosin as the photoinitiator

The capsules as obtained in Example 1 were suspended in 10ml of an ethyl eosin solution (see above for formulation) for 2 min to allow uptake of the dye, then washed three times with fresh 1.1% CaCl_2 to remove non-absorbed dye. The microcapsules were transferred from the CaCl_2 solution to a 0.9% NaCl solution for photomodification.

A solution was prepared by admixing 100 μl of 4% w/v of triethanolamine in physiological saline, 30 μl of 1.39M acrylic acid in physiological saline and 25 μl of 0.832M N-vinylpyrrolidone in physiological saline. The solution was added to 2ml of these microcapsules contained in a 60mm cell culture dish. After a gentle shaking, the microcapsules were immediately exposed to visible light (wavelength greater than 400 nm) for a defined period at 4°C.

After the irradiation, the capsules were washed with fresh 1.1% CaCl_2 solution to remove unreacted reagents. The capsules were then treated with poly-L-lysine and alginate in the normal manner. Sterile techniques were used throughout the whole procedure. The concentration of initiator and the period of irradiation were optimized to achieve similar osmotic pressure test results. It was found that the concentration of monomer, co-catalyst and polymerization period affected the mechanical strength of final microcapsules.

Figure 6 shows cell survival tests wherein the cells have been encapsulated as set forth below:

APA	Alginate-poly-L-lysine-Alginate microcapsules
APA+VL	Calcium alginate capsules that had been exposed to visible light for 30 minutes
APA+VL+D	Calcium alginate capsules that had been immersed into ethyl eosin dye solution, then exposed to visible light for 30 minutes
APA+AA	Calcium alginate capsules that had been modified with acrylic acid (AA) and N-vinylpyrrolidone (NVP). (AA was 30 μL of 1.39M solution and NVP 24 μL of a 0.834M solution.) Irradiation time 30 min.
APA+SA	Modified with sodium acrylate (NaAA) and N-vinylpyrrolidone (NVP). (NaAA was 30 μL of 1.39M solution and NVP was 24 μL of a 0.834M solution.) Irradiation time 30 min.

The cell survival was determined using the trypan blue test as described in H.J. Phillips, 1973, "Dye

exclusion tests for cell viability", pp. 406-408. In:
P.F.Kruse and M.K. Patterson (eds.), Tissue culture methods
and applications. Academic Press, New York.

It can be seen there is little change in cell
5 survival, as measured by the trypan blue test, of capsules
that were simply irradiated or irradiated with absorbed dye
as compared to standard capsules. Note these are
comparative experiments to show that light and light/dye
does not affect performance to a significant degree. The
0 capsules modified with acrylic acid, as described in this
invention, exhibited poorer cell survival than those
modified with sodium acrylate, which had much the same cell
survival as the initial control experiment.

Example 3

15 Detailed Procedure with Sodium Acrylate using
ethyl eosin as the photoinitiator with visible wavelength
light

A procedure similar to Example 2 was used, with
acrylic acid as the ethylenically unsaturated monomer and
20 with ethyl eosin as an initiator. The same molar amount of
sodium acrylate (30 μ l of a 1.39M solution) and varying
concentrations of N-vinylpyrrolidone were added to 2ml of
the suspended capsules. (The amount of sodium acrylate used
corresponds to a 10% modification.)

25 Figures 7 and 8 show results of osmotic pressure
tests conducted on capsules formed with varying amounts of
sodium acrylate. The tests were carried out upon formation
of the capsules, and after storage in saline for four months
at room temperature, respectively. Details are as follows:

- A--- Standard alginate-poly-L-Lysine-alginate microcapsules
- B--- 10%w/w sodium acrylate(SA) (30 μ l 1.39M) to Alginate
- C--- 20%w/w sodium acrylate(SA) 60 μ l 1.39M to Alginate
- D--- 50%w/w sodium acrylate(SA) 150 μ l 1.39M to Alginate
- E--- 100%w/w sodium acrylate(SA) 300 μ l 1.39M to Alginate

Figures 9 and 10 show results of similar tests with capsules formed with varying amounts of both sodium acrylate and NVP. Details are as follows:

- C1--- 10%w/w sodium acrylate(SA) (30 μ l of 1.39M solution) to Alginate.
- C2--- 10%w/w sodium acrylate(SA) (30 μ l of 1.39M) and 25 μ l 0.834M N-vinylpyrrolidone (NVP) to Alginate.
- C3--- 10%w/w sodium acrylate(SA) (30 μ l of 1.39M) and 50 μ l 0.834M N-vinylpyrrolidone (NVP) to Alginate.
- C4--- 20%w/w sodium acrylate(SA) (60 μ l of 1.39M) to Alginate.
- C5--- 20%w/w sodium acrylate(SA) (60 μ l of 1.39M) and 50 μ l 0.834M N-vinylpyrrolidone (NVP) to Alginate.
- C6--- 20%w/w sodium acrylate(SA) (60 μ l of 1.39M) and 100 μ l 0.834M N-vinylpyrrolidone (NVP) to Alginate.

The osmotic pressure tests show that the presence of a co-monomer (NVP) does not play as important a role in the long term storage test as it does in the acrylic acid

system in the short term tests. Its effect over the long term is comparable to that found with the acrylic acid modified capsules.

Example 4

5 EDTA Experiments

Standard capsules (F. Lim et al. (1981) J. Pharm. Sci. 70: 351) were prepared and placed in a 0.17M EDTA solution. The time until the capsules collapsed was observed and was found to be less than one minute. Capsules prepared in accordance with the invention, with UV light initiation, using 10% sodium acrylate (0.003gm of sodium acrylate to 0.03gm of alginate) were also prepared and placed in an EDTA solution of the same strength, and time until collapse increased to 5 minutes. Better EDTA stability was achieved, in accordance with the invention, using 100% sodium acrylate (0.03gm of sodium acrylate to 0.03gm of alginate) and 0.044gm of NVP.

Example 5

Detailed procedure for UV-initiated sodium acrylate and N-vinylpyrrolidone modification to the alginate capsules using long-wavelength ultraviolet light

Capsules containing C2C12 cells immobilized in alginate were prepared using the standard methodologies described earlier.

A solution was prepared by mixing 100 µl of Irgacure 2959, 60 µl of 1.39 M sodium acrylate in physiological saline and 100 µl of 0.832 M N-vinylpyrrolidone in physiological saline. The solution was added to 2 ml of the capsules contained in a 60 mm cell

culture dish. After a gentle shaking, the capsules were immediately exposed to UV light (wavelength around 350nm) for a defined period of time at 0°C. Afterwards, the capsules were washed with fresh 1.1% CaCl₂ solution to remove unreacted reagents. The capsules were then treated with poly-L-lysine and alginate in the standard manner. Sterile techniques were used throughout the entire procedure. The concentration of monomer and polymerization period affected the mechanical strength of the final microcapsules.

The Alamar blue test was selected to detect the viability of the encapsulated C2C12 cells. 100µl of the capsules to be tested for cell viability were placed in a well of a 24-well plate with media [DMEM (Dulbecco's Modified Eagle Medium) with 10% fetal bovine serum, penicillin (100 U/ml)-streptomycin (100µg/ml) and 2mM of L-glutamine (Gibco, BRL)] to a total volume of 500µl, and 50µl of Alamar Blue was added to each sample. The plate was incubated at 37 degrees Celsius for four hours. After incubation, 100µl of solution was taken from each sample and put on a microtiter plate. The fluorescence of each sample was read using a fluorometer (Cytofluor II) with an excitation wavelength of 590nm and an emission wavelength of 530nm. The number of viable cells was determined by comparing fluorescence values with a standard curve generated from non-encapsulated cells.

Figure 12 shows the result of the Alamar blue test for cell viability of the capsules modified with different irradiation times with UV-light. The Alamar blue test was used in this example as it is a more sensitive test than the Trypan blue test used earlier. It can be seen that although

the UV irradiation brings damage to the encapsulated cells, over 60% of living cells remain after the full process of modification.

Capsules modified with 20% sodium acrylate,
5 similar to those shown in Figure 12 were tested using an osmotic pressure test. The percentage of intact capsules after exposure to a series of hypotonic solutions was determined. Hypotonic solutions were made by diluting serum free media (SFM) with water. Solutions of 0%, 0.39%, 0.78%,
10 1.56%, 3.25%, 6.25% and 12.5% SFM, having respective osmolarities of 0, 1.4, 2.8, 5.5, 11.1, 21.3 and 42.5 mOsm, were used. The test involves shaking the capsules in one of the solutions for three hours, after which the numbers of broken and intact capsules are counted. The results are
15 shown in Figure 13.

It can be seen from Figure 13 that the strength of the capsules in the osmotic pressure test increased with irradiation time. The capsules were substantially stronger than the control alginate capsules to which no modification
20 had been applied. This is particularly evident at the lowest SFM concentrations where the osmotic pressure difference is the greatest.

Example 6

15 The effect of irradiation on capsules produced using Irgacure 2959 with sodium acrylate and N-
25 vinylpyrrolidone

A solution was prepared by admixing 100 μ l of Irgacure 2959, 100 μ l of 0.832 M N- vinylpyrrolidone in physiological saline. The solution was added to 2 ml of the
30 microcapsules contained in a 60 mm cell culture dish. After

a gentle shaking, the microcapsules were kept in the cell culture dish for a defined period at 0 °C. Some of the capsule samples were irradiated using long-wavelength UV light as described earlier. Afterwards, the capsules were
5 washed with fresh 1.1% CaCl₂ solution to remove unreacted reagents. The capsules were then treated with poly-L-lysine and alginate in the standard manner. Sterile techniques were used throughout the whole procedure.

Figure 14 shows the results of cell viability
0 tests, using Alamar blue, for cells produced under various modification conditions. It is evident that the cells had a good survival rate of over 70% of the control value, regardless of the type or length of the modification process or whether light was used or not. At much higher
5 concentrations of the modifying reagents some further loss of cell viability was observed (last entry in Figure 14). In Figure 14, NVP represents N-vinylpyrrolidone, SA represents sodium acrylate and Irg represents Irgacure 2959.

Figure 15 shows the results of osmotic pressure
10 tests with various modified capsules. The results obtained with the irradiated capsules are consistent with the results presented in previous examples. It should also be noted that even in the absence of light but in presence of the vinyl monomers (sodium acrylate and/or N-vinylpyrrolidone)
25 and initiator, there was a considerable increase in capsule strength.

Table 1 below summarizes results obtained with various
30 monomers and monomer mixtures using eosin as the photoinitiator:

Monomers		Concentration	OPT in DD-H ₂ O		Cell Survival	
A	B		ST	LT	VL	UV
Acrylic acid	None	10%	-	--	ND	-
		20%	+	--		
		50%	+	--		
		100%	-	--		
Acrylic acid	N-Vinyl pyrrolidone	10%	+++	+	-	-
		20%	+++	+		
		50%	-	--		
		100%	-	--		
Sodium acrylate	None	10%	+	-	ND	++
		20%	+	-		
		50%	+++	+		
		100%	+++	+		
Sodium acrylate	N-Vinyl pyrrolidone	10%	+	-	+++	++
		20%	+	-		
		50%	+++	+		
		100%	+++	+		
N-Vinyl pyrrolidone	None	10%	+	-	ND	++
		20%	+	-		
		50%	+++	+		
		100%	+++	+		

Where

"OPT" osmotic pressure test in double-distilled water

"ST" short term stability

5 "LT" long term stability (after 4 months in saline at room temp.)

"+++" OPT>80% of capsules remain intact

"++" OPT>70%

"+" OPT>50%

"-" OPT>20%

5 "----" OPT=0

Concentration "%" is defined as the weight percent of monomer A to sodium alginate. Monomer B concentration matches that of A on a molar basis. ND indicates experiments not done. Cell survival in visible light process determined using trypan blue; in UV light process using alamar blue

Example 7

Microcapsules which were prepared using a variety of conditions were caused to disintegrate using sodium-EDTA, and then analysed for their calcium content using an ICP (inductively coupled plasma) analytical technique. The results are shown in the accompanying Figure 11. It can be seen that by carrying out the method of the invention using either acrylic acid or sodium acrylate as sole polymerizable molecules, there is a very significant increase in the amount of calcium present in the capsules. The calcium content experiment described above shows that the presence of acrylic moieties augments the ionic cross-linking component. Presumably, the origin of this effect is that in applicant's process using acrylic acid or sodium acrylate, the carboxylic acid content of the capsules was effectively increased, thereby enhancing ionic cross-linking. This is partially evidenced by the heightened calcium contents of

capsules made by mixing poly(sodium acrylate) or poly(acrylic acid) with the alginate (Fig 11).

Figure 11 shows concentration of calcium in a solution obtained by dissolution of 10 μ L of microcapsules in 10 mL of 2% hydrogen peroxide.

Details are as follows:

AA standard alginate capsules;

Ag+AA alginate capsules modified with 20% acrylic acid (wt% as compared to alginate);

Ag+NVP alginate modified with NVP (molar amount of NVP corresponds to the molar amount of acrylic acid in a 20% acrylic acid modification);

Ag+AA+NVP alginate capsules modified with 20% acrylic acid and NVP, the amount of NVP is expressed as a molar % of the acrylic acid;

Ag+SA alginate capsules modified with 20% sodium alginate (molar amount of SA corresponds to the molar amount of acrylic acid in a 20% acrylic acid modification);

Ag+PAA alginate capsules made with incorporation of 20% poly(acrylic acid) (expressed as a weight % compared to alginate);

Ag+PSA alginate capsules formed with incorporation of 20% sodium poly(acrylate) (expressed as a weight % compared to alginate).

All publications, patents and patent applications cited in this specification are herein incorporated by reference as if each individual publication, patent or

patent application were specifically and individually indicated to be incorporated by reference. The citation of any publication is for its disclosure prior to the filing date and should not be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention.

Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it is readily apparent to those of ordinary skill in the art in light of the teachings of this invention that certain changes and modifications may be made thereto without departing from the spirit or scope of the appended claims.

It must be noted that as used in this specification and the appended claims, the singular forms "a," "an," and "the" include plural reference unless the context clearly dictates otherwise. Unless defined otherwise all technical and scientific terms used herein have the same meaning as commonly understood to one of ordinary skill in the art to which this invention belongs.

CLAIMS:

1. A process for encapsulating a biomedical material, which comprises incorporating the biomedical material in capsules of an ionically crosslinkable polymeric material, and contacting the capsules with a liquid vehicle comprising an ethylenically unsaturated molecule and an initiator.
2. A process according to claim 1, wherein the capsules and the liquid vehicle comprising an ethylenically unsaturated molecule and an initiator are irradiated to induce polymerization of the ethylenically unsaturated molecule.
3. A process according to claim 1 or 2, wherein the ionically crosslinkable polymeric material is an alginate.
4. A process according to any one of claims 1 to 4, wherein the ethylenically unsaturated molecule is selected from the group comprising acrylic acid, sodium acrylate and N-vinylpyrrolidone.
5. A process according to any one of claims 1 to 4, wherein the initiator is selected from ethyl eosin and 2-hydroxy-1-[4-(hydroxyethoxy)phenyl]-2-methyl-1-propanone.
6. A process according to any one of claims 2 to 5, wherein the capsules and the liquid vehicle comprising an ethylenically unsaturated molecule and an initiator are irradiated at a wavelength of about 300 nm or greater.
7. A process according to any one of claims 1 to 6, wherein the molar ratio of ionically crosslinkable polymeric material to ethylenically unsaturated molecule is from about 1:1 to about 20:1.

8. A process according to any one of claims 1 to 7, wherein the molar ratio of ionically crosslinkable polymeric material to ethylenically unsaturated molecule is from about 1:1 to about 10:1.

5 9. A process according to any one of claims 1 to 8 which comprises the further steps of coating the encapsulated biomedical material with a poly-amino acid, and subsequently coating with an ionically crosslinkable polymeric material.

10 10. A microcapsule comprising a biomedical material which is encapsulated in a coating, wherein the coating comprises a substantially inner layer of an ionically crosslinked polymeric material which is reinforced by a substantially outer layer of a crosslinked ethylenically
15 unsaturated molecule, wherein the molar ratio of ionically crosslinked polymeric material to polymerised ethylenically unsaturated molecule is from about 1:1 to about 20:1.

11. A microcapsule according to claim 10, wherein the ionically crosslinked polymeric material is an alginate.

20 12. A microcapsule according to claim 10 or 11, wherein the ethylenically unsaturated molecule is selected from the group comprising acrylic acid, sodium acrylate and N-vinylpyrrolidone.

13. A microcapsule according to any one of claims 10
25 to 12, which has an additional coating comprising a poly-amino acid and a further coating comprising a second ionically crosslinked polymeric material.

14. A method for introducing a biomedical material into an animal, which comprises implanting in the animal a
30 microcapsule as claimed in any one of claims 9 to 11.

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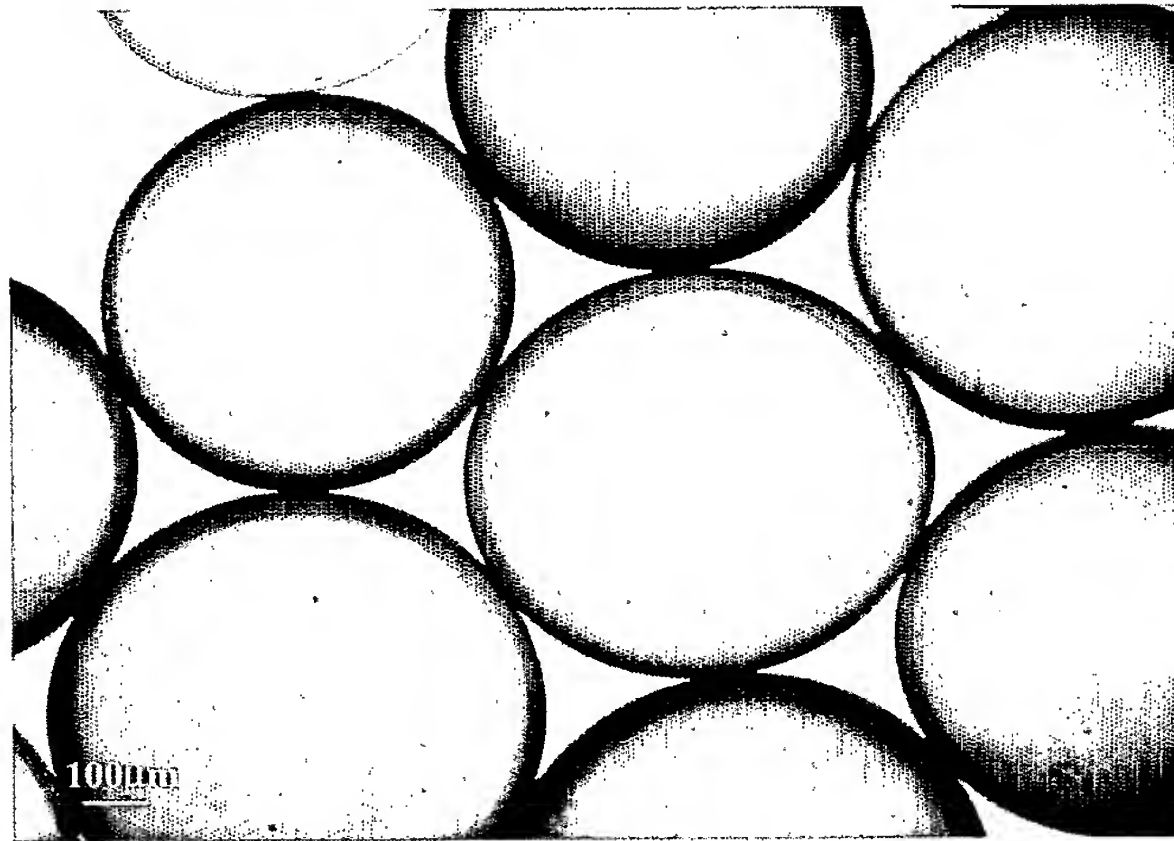


FIG. 1

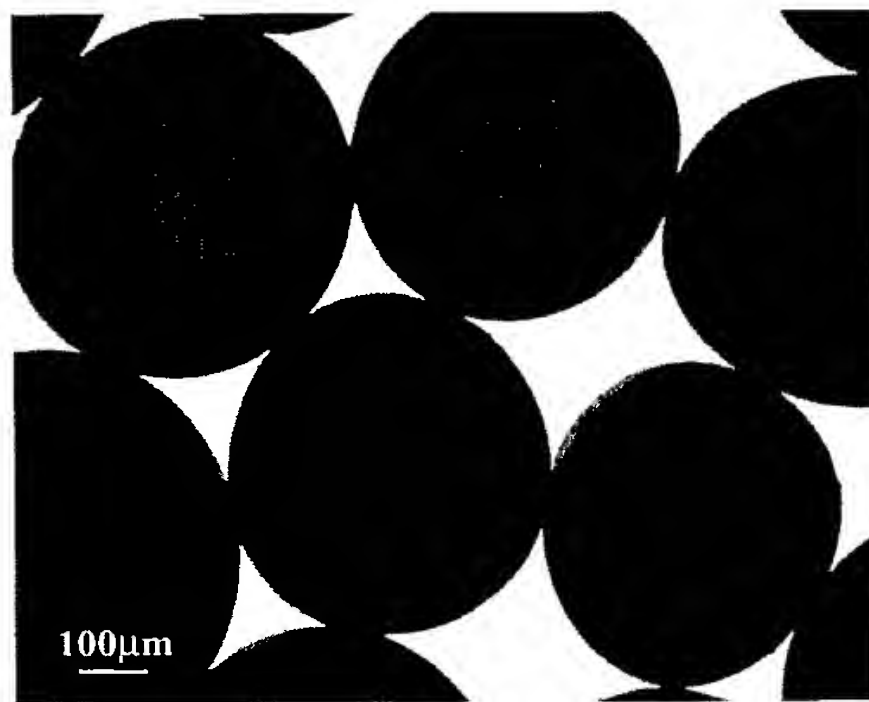


FIG. 2

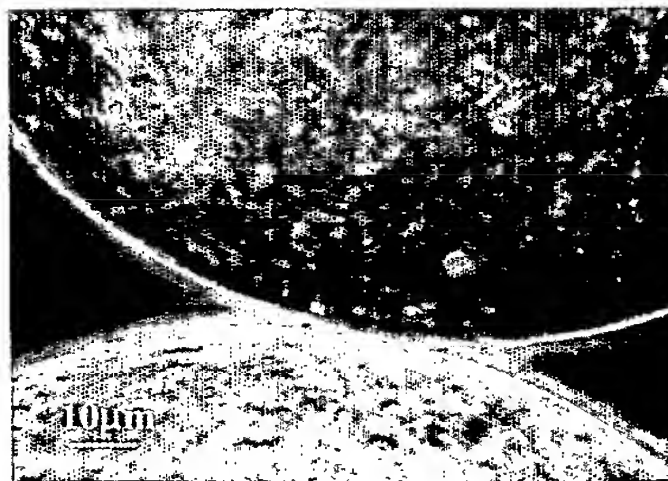
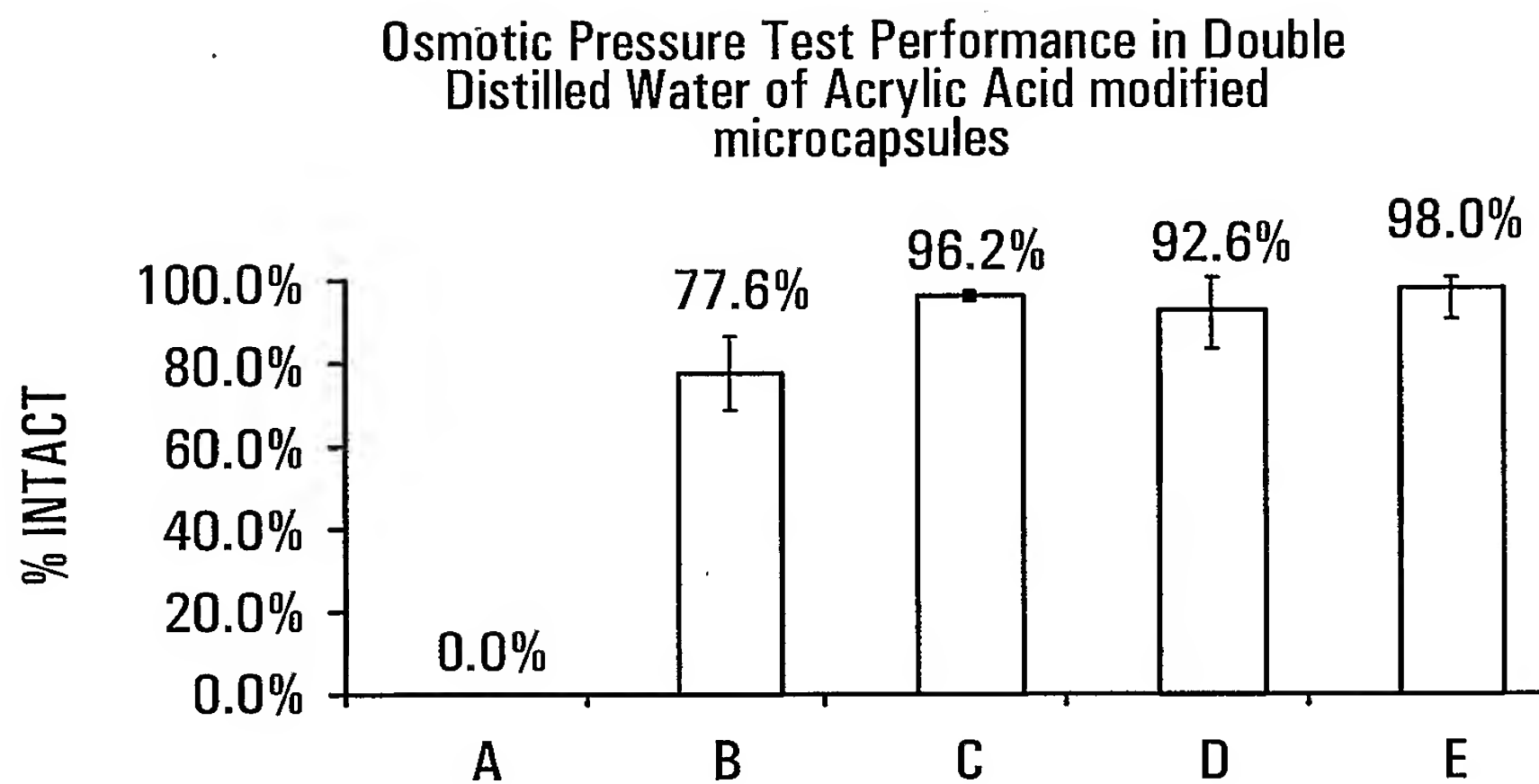
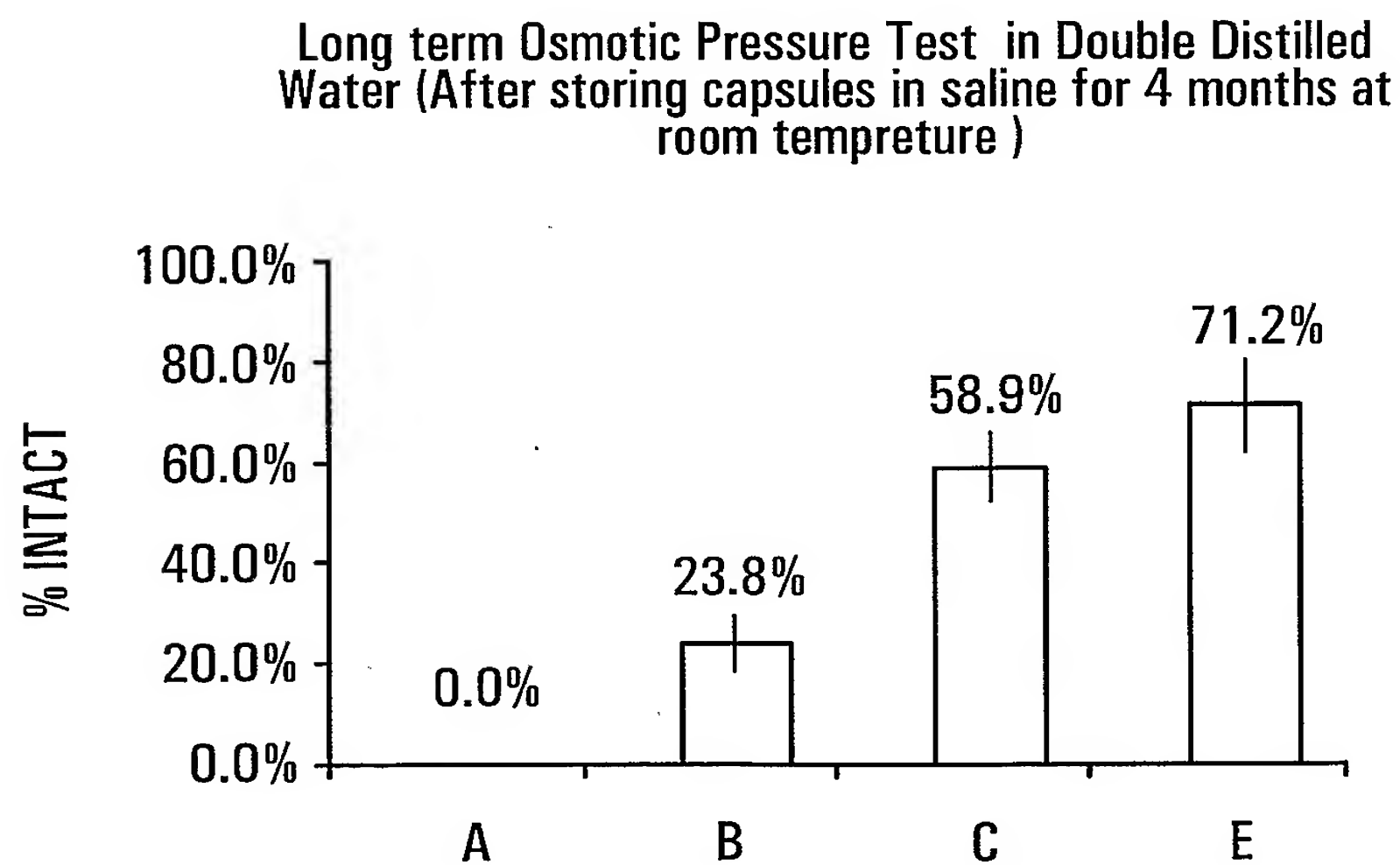


FIG. 3

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**FIG. 4****FIG. 5**

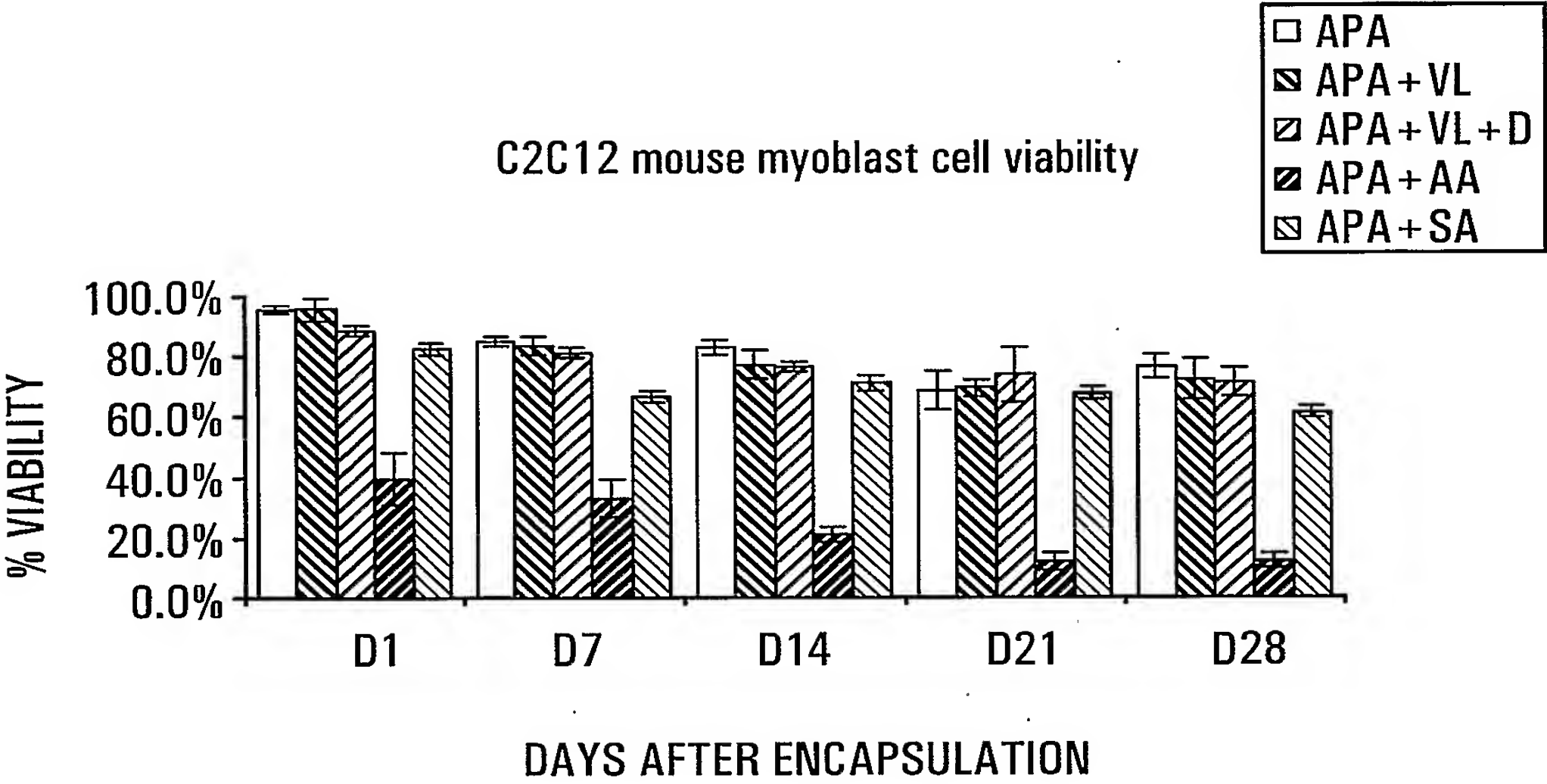
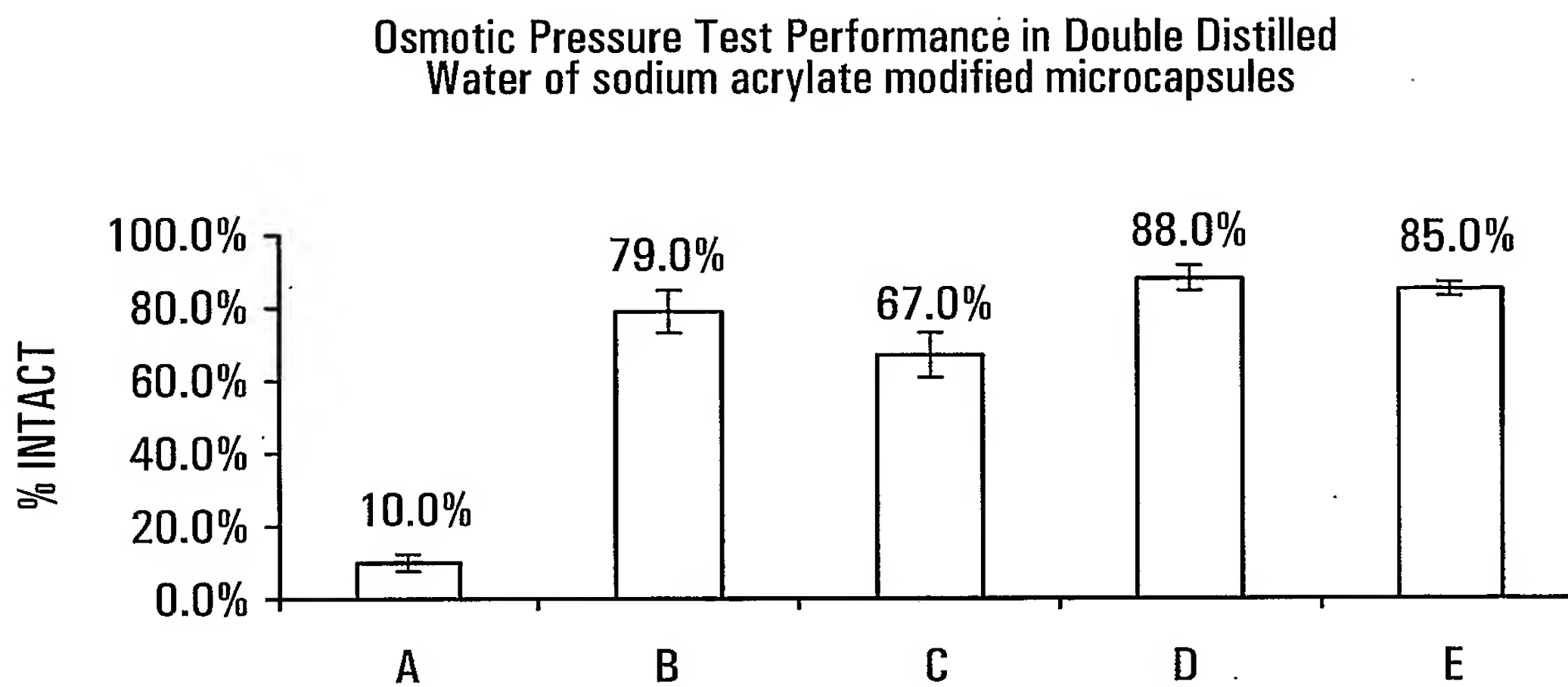
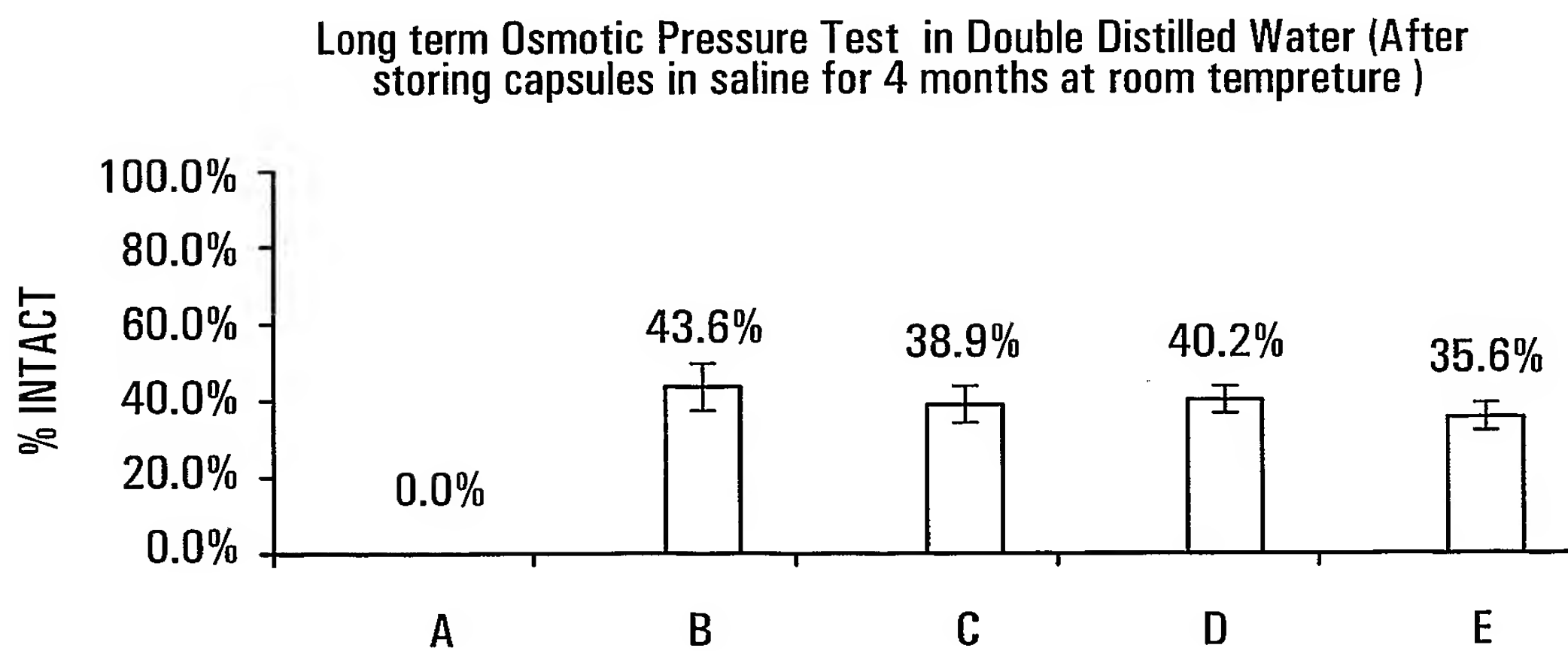
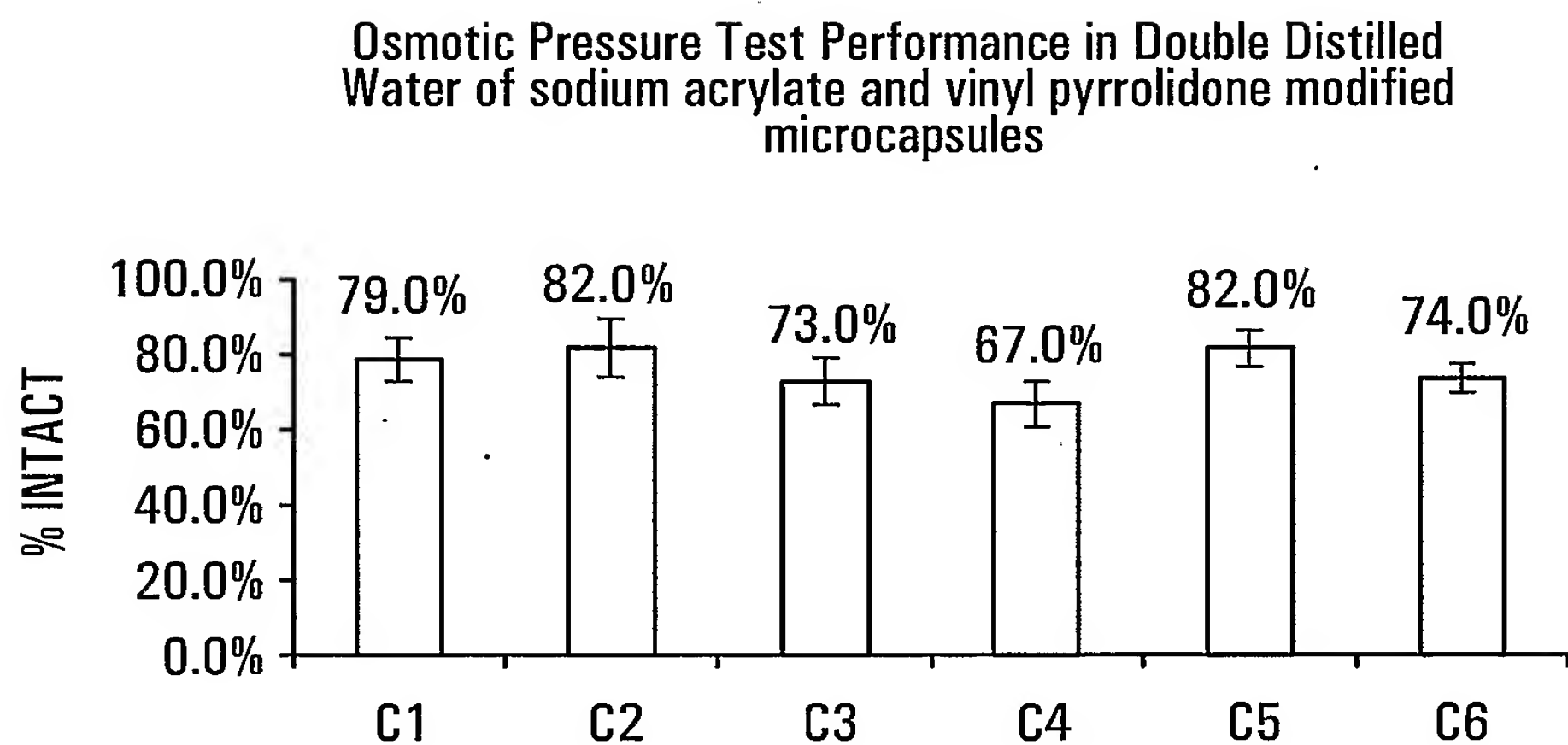
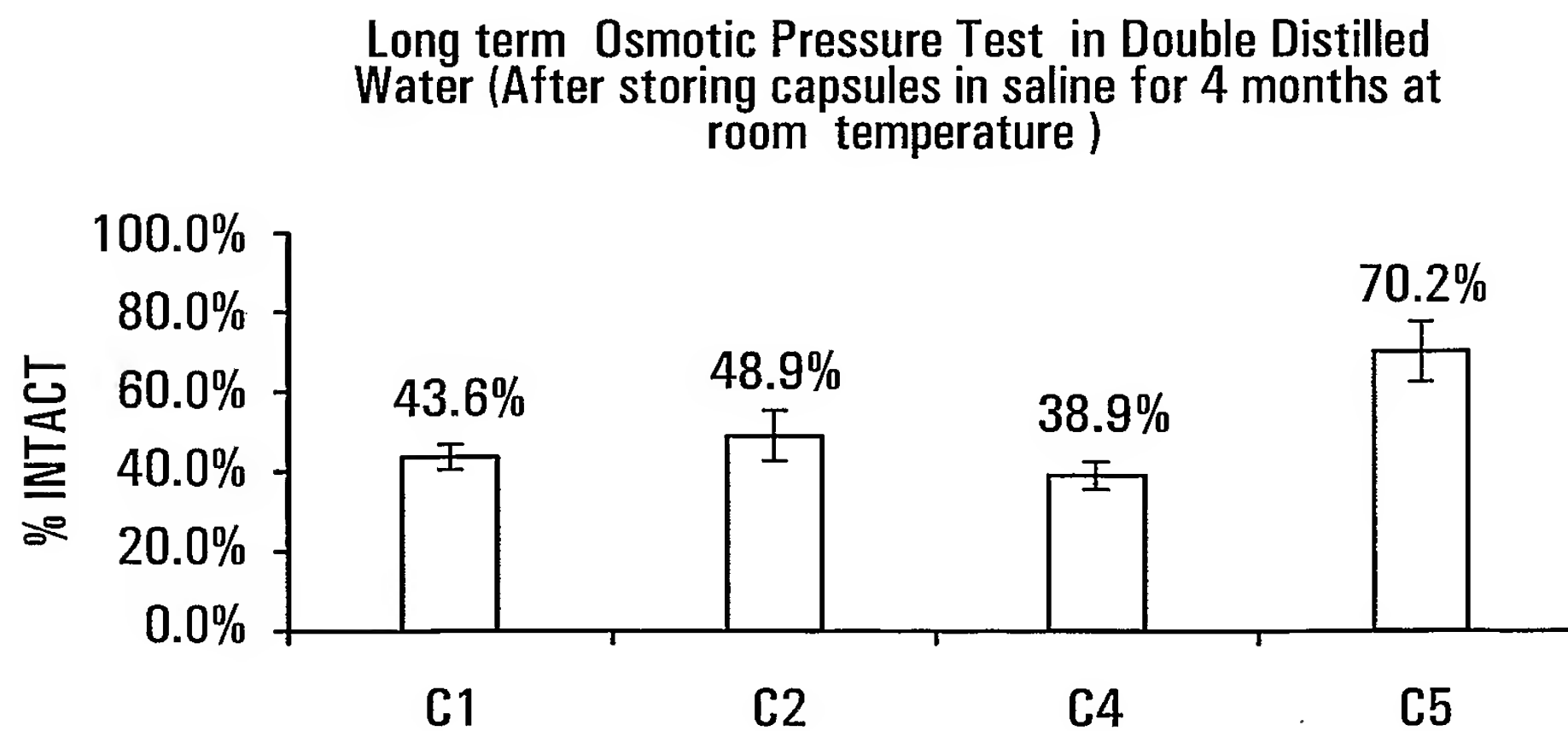


FIG. 6

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**FIG. 7****FIG. 8**

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**FIG. 9****FIG. 10**

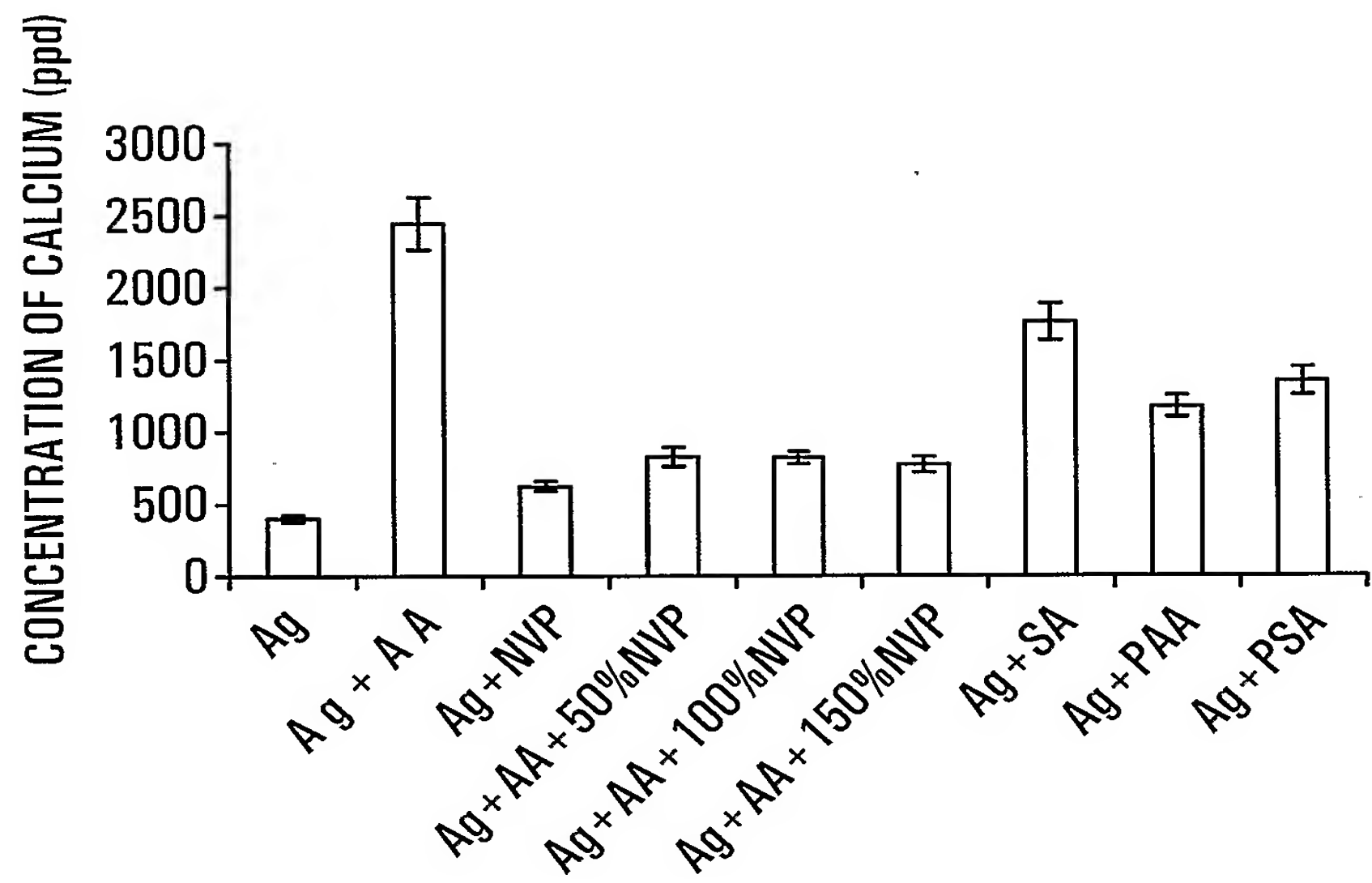


FIG. 11

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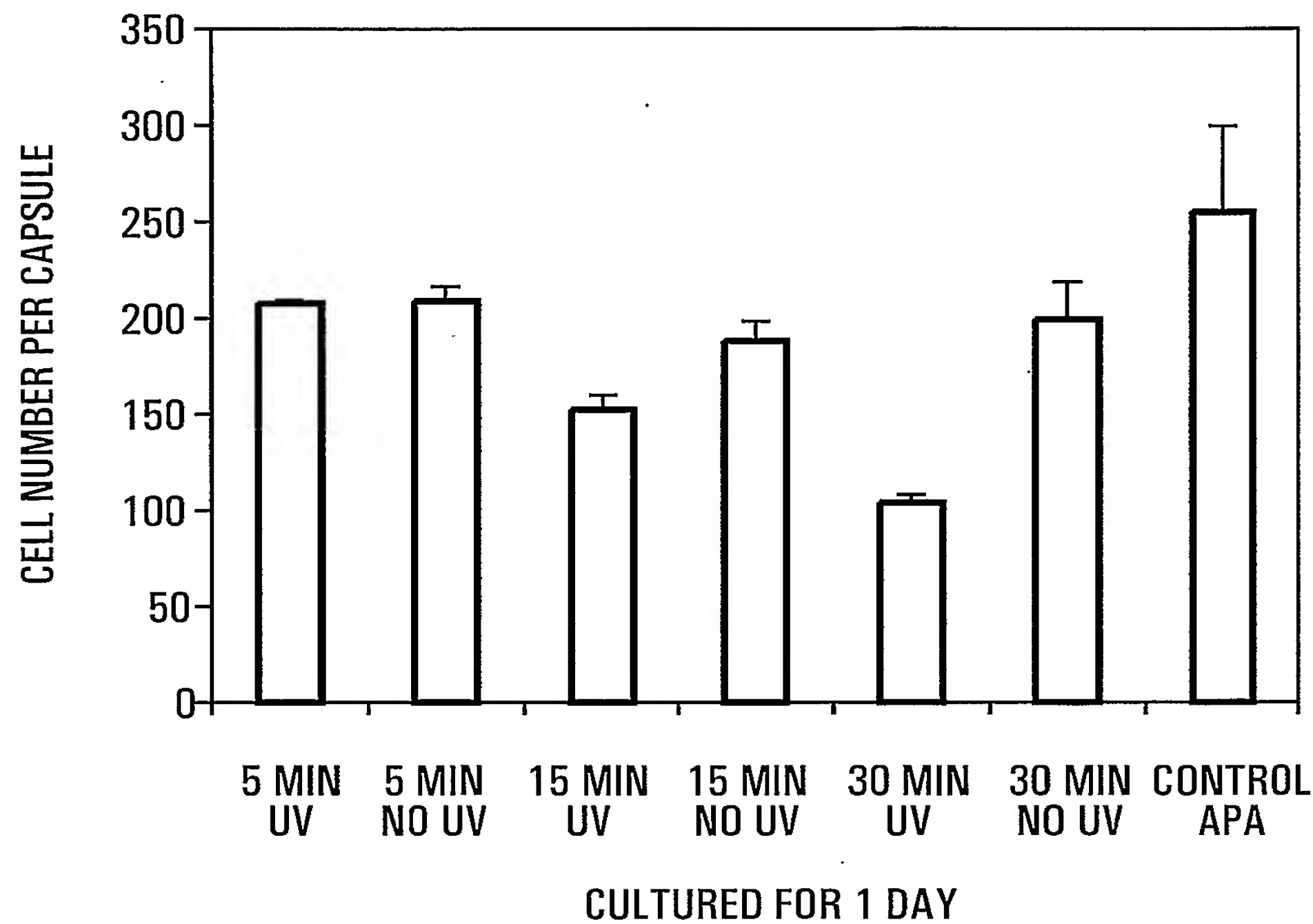


FIG. 12

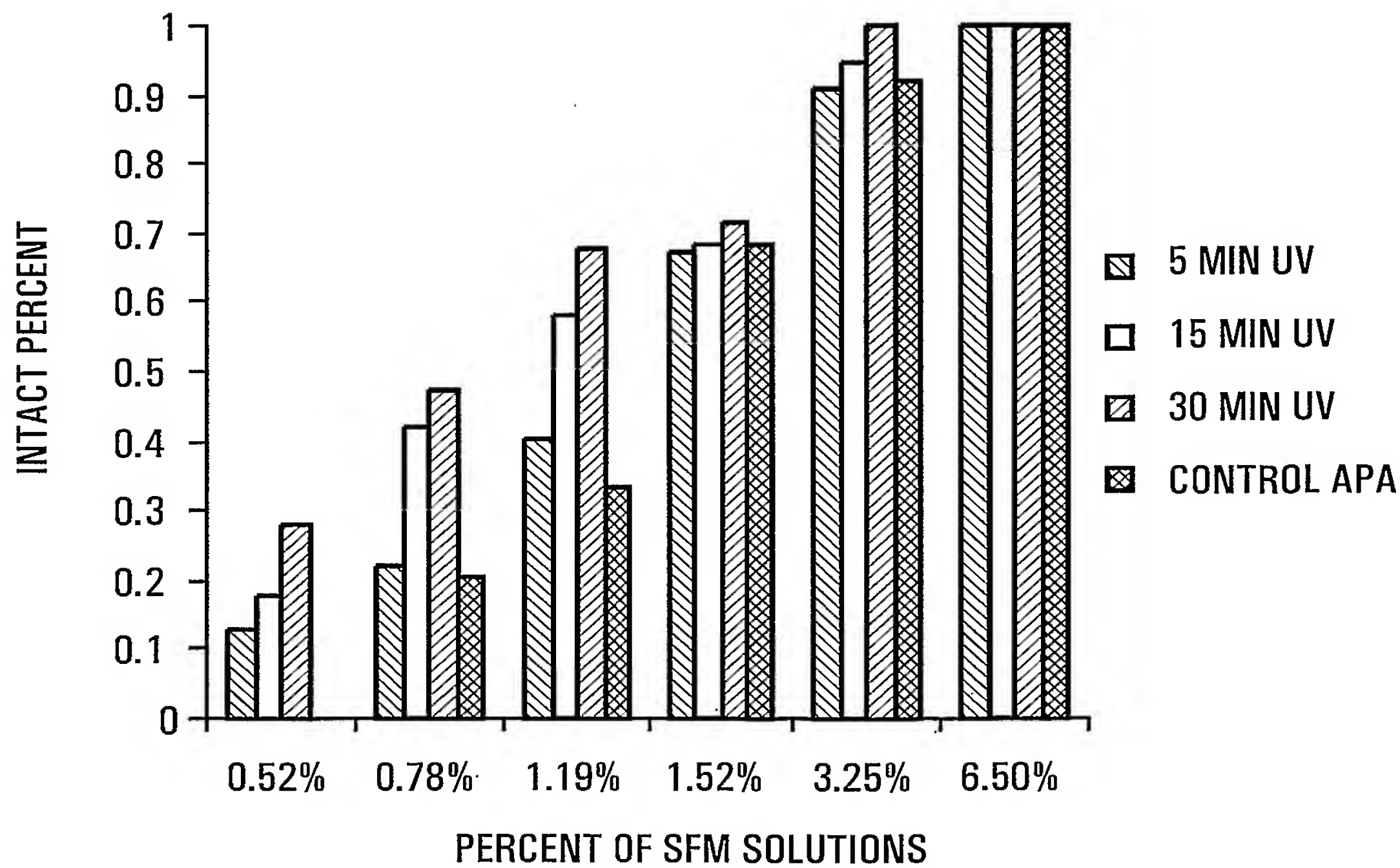
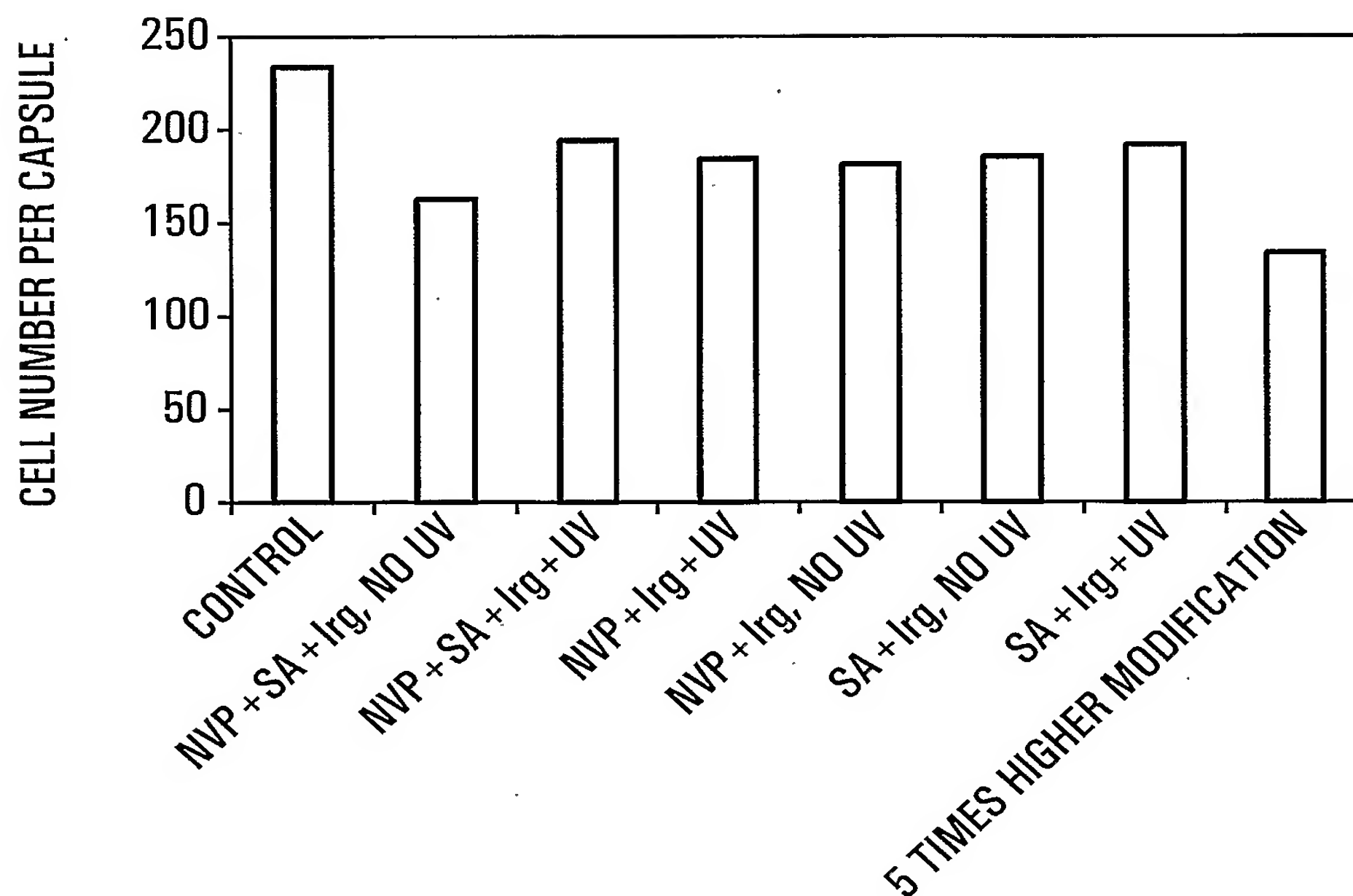
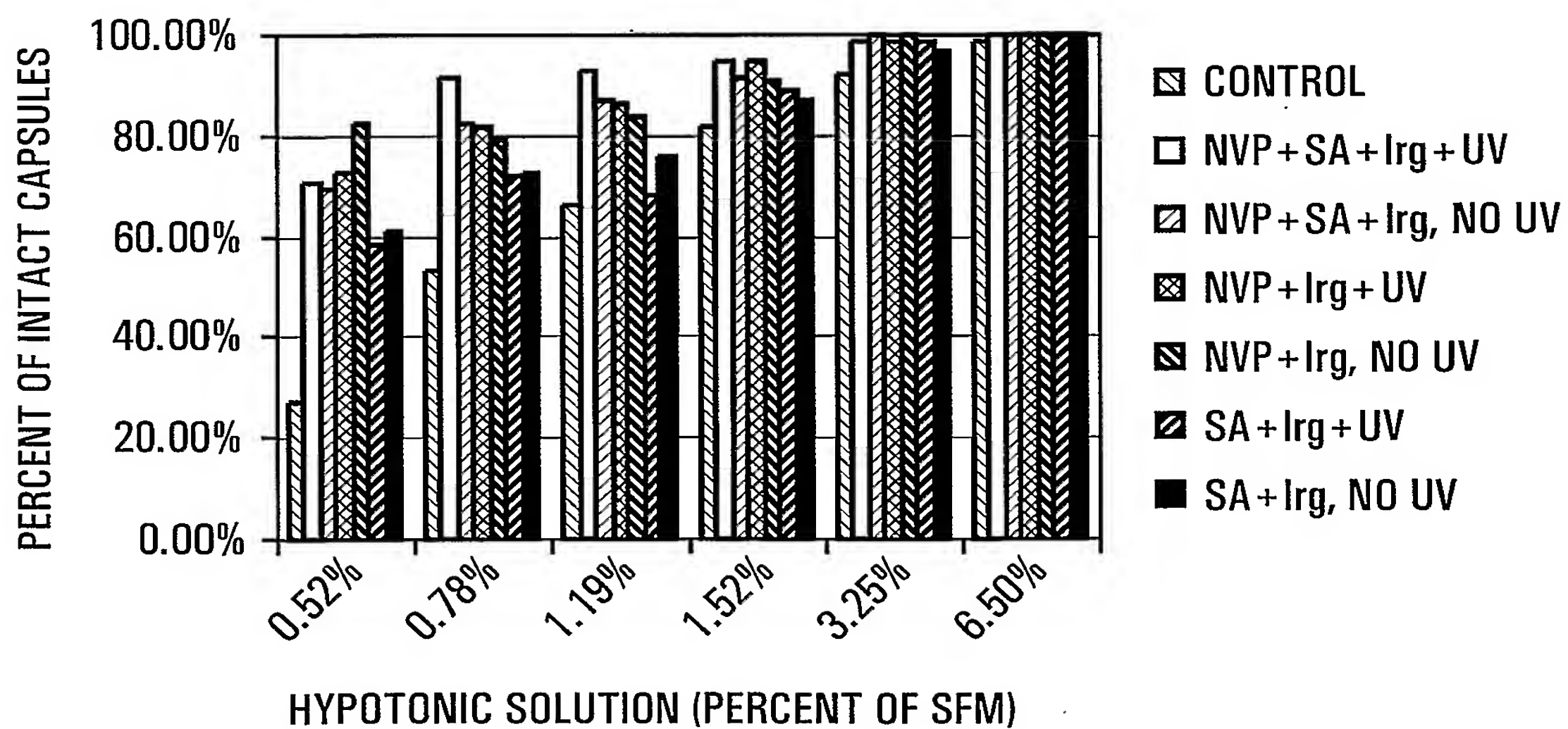


FIG. 13

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**FIG. 14****FIG. 15**